| :<br>          | N .   |   |                  |                            | #13 M  |  |  |
|----------------|---|---|------------------|----------------------------|--|--|--|
|                | -   | Notice To File Missing<br>te Granted (PTO-153   | -                | - : : : :                  | Docket No. PENN-0789   |  |  |
| In Re          | Application Of: S   | iegel et al.                                    | 258              |                            |  |  |  |
|                | Serial No.<br>10/046,504  | Filing Date October 19, 2001                    | WILE.            | Examiner Not Yet Assigned  | Group Art Unit<br>3736   |  |  |
| Inver<br>Their | ntion: Polymer-base   |   | le Haloperidol 1 | Delivery Systems and Met   | hods for   |  |  |
|                |   | TO THE ASSISTA                                  | NT COMMISS       | IONER FOR PATENTS:         |  |  |  |
|                |   |   | Box Missing F    | <u>Parts</u>               |  |  |  |
| M              | Iarch 8, 2002<br>Date   | Notice to File Missing Pa                       | arts of Applicat | ion - Filing Date Granted  | (PTO-1533) mailed on   |  |  |
|                | A copy of the Notice  | e to File Missing Parts o                       | of Application - | Filing Date Granted (PTC   | D-1533). <b>(REQUIRED)</b>   |  |  |
| . 🗵            |   | ation in compliance with above Application Numb |                  |                            | formation and identifying the  |  |  |
|                | A properly signed<br>Application Number   |   | ompliance with   | 37 CFR 1.63, identifying   | the application by the above   |  |  |
|                | An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.                           |   |                  |                            |  |  |  |
|                | A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. |   |                  |                            |  |  |  |
|                | •   | verified small entity ded                       | claration(s)     |                            |  |  |  |
|                | ☐ is/are attache  | d.  |                  | To extravely of the second | ·· .   |  |  |
|                | ☐ was/were filed  | d on  |                  |                            | ·  |  |  |
|                | A separate reques   | t for refund.                                   |                  |                            |  |  |  |
|                | Other (list):   |   | <u></u>          |                            |  |  |  |
| 7 01/2002      | JBALINAN 00000007 1   | 0046504   |                  |                            |  |  |  |
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| Response To Filing D  | on  | Docket No.<br>PENN-0789 |                         |                    |  |  |  |  |  |  |
|---|---|-------------------------|-------------------------|--------------------|--|--|--|--|--|--|
| In Re Application Of: Siegel et al.   |   |                         |                         |                    |  |  |  |  |  |  |
| Serial No.  | Filing Date   | O S E                   | xaminer                 | Group Art Unit     |  |  |  |  |  |  |
| 10/046,504  | October 19, 2001  | Miles Not You           | et Assigned             | 3736               |  |  |  |  |  |  |
| Invention: Polymer-based Surgically Implantable Haloperidol Delivery Systems and Methods for  |   |                         |                         |                    |  |  |  |  |  |  |
| Their Production and Use  |   |                         |                         |                    |  |  |  |  |  |  |
|   |   |                         |                         |                    |  |  |  |  |  |  |
| TO THE ASSISTANT COMMISSIONER FOR PATENTS.  |   |                         |                         |                    |  |  |  |  |  |  |
| Attention: Manager, Application Branch  |   |                         |                         |                    |  |  |  |  |  |  |
| ☐ Completion of application fees as calculated below:   |   |                         |                         |                    |  |  |  |  |  |  |
| ☐ Utility application filing fee  |   |                         |                         |                    |  |  |  |  |  |  |
|   |   |                         |                         |                    |  |  |  |  |  |  |
| Design appli  | ication filing fee  |                         |                         |                    |  |  |  |  |  |  |
| ☐ Total numbe   | er of independent claims  | =                       |                         |                    |  |  |  |  |  |  |
| ☐ Total numbe   | er of claims =  |                         |                         | <u></u>            |  |  |  |  |  |  |
| ☐ Multiple dep  | endent claims   |                         |                         | · .                |  |  |  |  |  |  |
| Surcharge for the state of the stat | Surcharge for late payment of filing fee and/or late filing of original declaration or oath     \$65.00 |                         |                         |                    |  |  |  |  |  |  |
| ☐ Petition and  | ☐ Petition and fee for filing by other than all the inventors or a person not the inventor              |                         |                         |                    |  |  |  |  |  |  |
| ☐ Fee for proc  | ☐ Fee for processing an application filed with a non-English language specification                     |                         |                         |                    |  |  |  |  |  |  |
| ☐ Fee for processing and retention of application   |   |                         |                         |                    |  |  |  |  |  |  |
|   |   | Total c                 | ompletion of applicati  | on fees            |  |  |  |  |  |  |
| This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.   |   |                         |                         |                    |  |  |  |  |  |  |
| ☐ One month   | ☑ Two months  | ☐ Three months          | ☐ Four months           | ☐ Five months      |  |  |  |  |  |  |
| from:   | May 9, 2002   | until:                  | July 8, 2002            |                    |  |  |  |  |  |  |
|   | Date  |                         | Date  Total time extens | sion fees \$200.00 |  |  |  |  |  |  |
| Total fees due  |   |                         |                         |                    |  |  |  |  |  |  |
|   |   |                         | iotali                  | ees due \$265.00   |  |  |  |  |  |  |

| r  |   |                   |  |   |   |  |  |
|--|---|-------------------|--|---|---|--|--|
| Response To N<br>Filing Da                 | Docket No. PENN-0789  |                   |  |   |   |  |  |
| In Re Application Of: Siegel et al.        |   |                   |  |   |   |  |  |
| Serial No.<br>10/046,504                   | Filing Date October 19, 2001  | A\$/              | Examiner Yet Assigned  | Group Art Unit  |   |  |  |
| •  |   |                   |  |   |   |  |  |
| _  | sed Surgically Implantable Halop                                      | eridol Deliver    | y Systems and M  | ethods for  |   |  |  |
| Their Production and Use                   | •   |                   |  |   |   |  |  |
|  |   |                   |  |   |   |  |  |
|  | TO THE ASSISTANT COM  | MMISSIONER        | FOR PATENTS  | <u>S:</u>   |   |  |  |
|  | Attention: Manage   |                   |  |   |   |  |  |
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| The fee of \$265.00                        | is to be paid as follows:   |                   |  |   |   |  |  |
| ☐ A check in the amo                       | ount of the fee is enclosed.  |                   |  |   |   |  |  |
|  | r is hereby authorized to charge                                      | any fees which    | ch may be requir   | ed, or credit any   |   |  |  |
| • •  | eposit Account No. 50-1619  |                   |  |   |   |  |  |
| · · ·                                      | f this sheet is enclosed.   | * * 4 <b>L</b> .* | Ha a na  |   |   |  |  |
|  | ension of time is required, please<br>s which may be required to Depo |                   |  | for and charge  |   |  |  |
|  | s which may be required to Depo<br>if this sheet is enclosed.         | ISIL MUUUUITE I V | U. 30-1017   |   |   |  |  |
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|  |   |                   |  |   |   |  |  |
| Janhan Jean                                | •   | Dated: J          | une 21, 2002   |   |   |  |  |
| ان ک                                       | ignature  |                   |  |   |   |  |  |
| Jane Massey Licata, Reg.                   | No. 32,257  |                   |  |   |   |  |  |
| Kathleen A. Tyrrell, Reg.                  |   |                   | certify that this  | document and fee is being depo                                      | osited  |  |  |
| Licata & Tyrrell P.C.<br>66 E. Main Street |   |                   | on June 21, 2002   | with the U.S. Postal Serv   | ice as  |  |  |
| Marlton, New Jersey 0805                   | 53  |                   | THE RESIDENCE OF THE PARTY OF T | der 37 C.F.R. 1.8 and is addressed ssioner for Patents, Washington, | 10 To |  |  |
| Telephone: (856) 810-151:                  | 5   |                   | 20231.   |   |   |  |  |
| Facsimile: (856) 810-1454                  | Į.  |                   |  |   |   |  |  |
|  |   |                   | Signature  | of Person Mailing Correspondence                                    |   |  |  |
|  |   |                   |  | Jane Massey Licata  |   |  |  |
| cc:  |   |                   | Typed or Printed Name of Person Mailing Correspondence   |   |   |  |  |





Page 1 of 1

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT FILING/RECEIPT DATE APPLICATION NUMBER

10/046,504

Licata & Tyrrell P.C. 66 E. Main Street

Marlton, NJ 08053

10/19/2001

Steven J. Siegel

PENN-0789

**CONFIRMATION NO. 3358** 

FORMALITIES LETTER

OC000000007604351\*

Date Mailed: 03/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/01/2002 JBALINAN 00000007 501619 10046504 01 FC:205